

1.) CORPORATION NAME:

DUE DATE: **10/26/2011**

The Research Foundation of CFA Institute

SCC ID NO: **01067594**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**OFFICER
TINA SAPSARA
560 RAY C. HUNT DRIVE
CHARLOTTESVILLE, VA 22903**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
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3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

ALBEMARLE COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

VA

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 560 RAY C HUNT DRIVE

CITY/ST/ZIP: CHARLOTTESVILLE, VA 22903-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	"BUD" WALTER V HASLETT, JR, CFA	
TITLE:	EXEC DIRECTOR	
ADDRESS:	PO BOX 3668	
CITY/ST/ZIP/CO:	CHARLOTTESVILLE, VA 22903-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	THOMAS M RICHARDS, CFA	
TITLE:	CHAIR	
ADDRESS:	NUVEEN HYDEPARK GROUP	
CITY/ST/ZIP/CO:	333 WEST WACKER DR 30TH FL CHICAGO, IL 60606-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	JOHN D ROGERS	
TITLE:	P/CEO	
ADDRESS:	560 RAY C. HUNT DRIVE	
CITY/ST/ZIP/CO:	CHARLOTTESVILLE, VA 22903-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	TIMOTHY G MCLAUGHLIN, CFA, CPA	
TITLE:	TREASURER	
ADDRESS:	560 RAY C. HUNT DRIVE	
CITY/ST/ZIP/CO:	CHARLOTTESVILLE, VA 22903-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	MS TINA SAPSARA	
TITLE:	SECRETARY	
ADDRESS:	560 RAY C. HUNT DRIVE	
CITY/ST/ZIP/CO:	CHARLOTTESVILLE, VA 22903-	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ MS TINA SAPSARA</u>	<u>MS TINA SAPSARA, SECRETARY</u>	<u>10/26/2011</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.