

1.) CORPORATION NAME: CFA Institute Research Foundation	DUE DATE: 1/16/2014
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: TINA SAPSARA 915 EAST HIGH STREET CHARLOTTESVILLE, VA	SCC ID NO: 01067594
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: ALBEMARLE COUNTY	5.) STOCK INFORMATION CLASS <input type="text"/> AUTHORIZED <input type="text"/>
4.) STATE OR COUNTRY OF INCORPORATION: VA	

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 915 East High Street

CITY/ST/ZIP: CHARLOTTESVILLE, VA 22902

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: JOHN D ROGERS	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
TITLE: P/CEO			
ADDRESS: 560 RAY C. HUNT DRIVE			
CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903			

NAME: KIM MAYNARD	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
TITLE: TREASURER			
ADDRESS: 560 RAY C. HUNT DRIVE			
CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903			

NAME: JEFFREY V. BAILEY, CFA	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
TITLE: CHAIR			
ADDRESS: TARGET CORPORATION			
CITY/ST/ZIP/CO: 1000 NICOLLET MALL, TPS 1170 MINNEAPOLIS, MN 55403			

NAME: "BUD" WALTER V HASLETT, JR, CFA	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
TITLE: EXEC DIRECTOR			
ADDRESS: PO BOX 3668			
CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903			

NAME: MS TINA SAPSARA	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
TITLE: SECRETARY			
ADDRESS: 560 RAY C. HUNT DRIVE			
CITY/ST/ZIP/CO: CHARLOTTESVILLE, VA 22903			

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ JOHN D ROGERS	JOHN D ROGERS, P/CEO	1/16/2014
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.