

1.) CORPORATION NAME:

DUE DATE: **7/31/2014**

**CFA Institute**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

SCC ID NO: **02901643**

**C. JEANNIE ANDERSON  
915 EAST HIGH STREET  
CHARLOTTESVILLE, VA**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
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3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**ALBEMARLE COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**VA**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 915 EAST HIGH STREET

CITY/ST/ZIP: CHARLOTTESVILLE, VA 22902

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	DWIGHT D. CHURCHILL, CFA	
TITLE:	P/CEO	
ADDRESS:	CFA INSTITUTE	
CITY/ST/ZIP/CO:	915 E HIGH ST CHARLOTTESVILLE, VA 22902	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	AARON LOW	
TITLE:	VICE CHAIRMAN	
ADDRESS:	LUMEN ADVISORS, ONE RAFFLES QUAY	
CITY/ST/ZIP/CO:	, , SG	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	ALAN M. MEDER, CFA	
TITLE:	PAST CHAIRMAN	
ADDRESS:	DUFF & PHELPS INVESTMENT MNGT.	
CITY/ST/ZIP/CO:	200 S. WACKER DR., SUITE 500 CHICAGO, IL 60606	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	CHARLES J. YANG, CFA	
TITLE:	CHAIRMAN	
ADDRESS:	T&D ASSET MNGT., 1-2-3 KAIGAN	
CITY/ST/ZIP/CO:	, , JP	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	JOSEPH P. LANGE	
TITLE:	SECRETARY	
ADDRESS:	CFA INSTITUTE	
CITY/ST/ZIP/CO:	915 E HIGH ST CHARLOTTESVILLE, VA 22902	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	TIMOTHY G. MCLAUGHLIN, CFA	
TITLE:	MGR DIR/CFO	
ADDRESS:	CFA INSTITUTE	
CITY/ST/ZIP/CO:	915 E HIGH ST CHARLOTTESVILLE, VA 22902	

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	SAEED M. AL-HAJERI, CFA DIRECTOR ADIA BLDG., 211 CORNICHE ST. , , AE	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	GIUSEPPE BALLOCCHI, CFA DIRECTOR CHEMIN FRANCOISE-LEHMANN 28 , , CH	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	HEATHER BRILLIANT, CFA DIRECTOR MORNINGSTAR, 22 W. WASHINGTON CHICAGO, IL 60602	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	BETH HAMILTON-KEEN, CFA DIRECTOR MAWER INVESTMENT MNGT., 603-7 AVENUE SW , , CA	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	ROBERT JENKINS, FSIP DIRECTOR FLAT J, 31 RANDOLPH AVE. , , GB	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JAMES G. JONES, CFA DIRECTOR STERLING INVESTMENT ADVISORS, 2095 S. BOSTON BOLIVAR, MO 65613	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	ATTILA KOKSAL, CFA DIRECTOR STANDARD UNLU A.S., B BLK NO:1 KAT:1 , , TR	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MARK LAZBERGER, CFA DIRECTOR COLONIAL FIRST STATE GLOBAL ASSET MNGT., LEVE , , AU	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	FREDERIC P. LABEL, CFA DIRECTOR HFS HEDGE FUND SELECTION S.A., CHEMIN DES RAN , , CH	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	COLIN W. MCLEAN, FSIP DIRECTOR SVM ASSET MNGT., 7 CASTLE ST. , , GB	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MATTHEW H. SCANLAN, CFA DIRECTOR RS INVESTMENTS, ONE BUSH ST, STE 900 SAN FRANCISCO, CA 94104	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR

NAME: JANE SHAO, CFA TITLE: DIRECTOR ADDRESS: LUMIERE PAVILIONS, 105 YAOJIAYUAN RD. CITY/ST/ZIP/CO: , , CN	<input type="checkbox"/> OFFICER <input checked="" type="checkbox"/> DIRECTOR	
NAME: SUNIL SINGHANIA, CFA TITLE: DIRECTOR ADDRESS: RELIANCE MUTUAL FUND, JUPITER MILLS, ELPHINST CITY/ST/ZIP/CO: , , IN	<input type="checkbox"/> OFFICER <input checked="" type="checkbox"/> DIRECTOR	
NAME: ROGER URWIN TITLE: DIRECTOR ADDRESS: TOWERS WATSON, LONDON ROAD CITY/ST/ZIP/CO: , , GB	<input type="checkbox"/> OFFICER <input checked="" type="checkbox"/> DIRECTOR	
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.		
/s/ DWIGHT D. CHURCHILL, CFA SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	DWIGHT D. CHURCHILL, CFA, P/CEO PRINTED NAME AND CORPORATE TITLE	6/9/2014 DATE
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.		