

1.) CORPORATION NAME:

SULLIVAN, BRUYETTE, SPEROS & BLAYNEY, INC.

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E. AUTH IN VI CT CORPORATION SYSTEM**
4701 COX RD STE 301
GLEN ALLEN, VA 23060

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:
HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:
VA

DUE DATE: **1/31/2011**

SCC ID NO: **03692688**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMA	10,000
COMB	10,000

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 8180 GREENSBORO DR
STE 1000

CITY/ST/ZIP: MCLEAN, VA 22102-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	GREGORY D SULLIVAN	
TITLE:	P/CEO	
ADDRESS:	8180 GREENSBORO DR, SUITE 1000	
CITY/ST/ZIP/CO:	MCLEAN, VA 22102-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	CECILY MISTARZ	
TITLE:	SR VP	
ADDRESS:	111 W MONROE ST	
CITY/ST/ZIP/CO:	CHICAGO, IL 60603-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	JAMES BRUYETTE	
TITLE:	MANAGING DIRECT	
ADDRESS:	8180 GREENSBORO DR, SUITE 1000	
CITY/ST/ZIP/CO:	MCLEAN, VA 22102-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	TERRY JENKINS	
TITLE:	CHAIRMAN	
ADDRESS:	111 W MONROE ST	
CITY/ST/ZIP/CO:	CHICAGO, IL 60603-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	PETER SPEROS	
TITLE:	MANAGING DIR	
ADDRESS:	8180 GREENSBORO DR., STE 1000	
CITY/ST/ZIP/CO:	MCLEAN, VA 22102-	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ GREGORY D SULLIVAN</u>	<u>GREGORY D SULLIVAN, P/CEO</u>	<u>3/16/2011</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.