

1.) CORPORATION NAME:

SULLIVAN, BRUYETTE, SPEROS & BLAYNEY, INC.

DUE DATE: **1/31/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM
4701 COX RD STE 301
GLEN ALLEN, VA 23060**

SCC ID NO: **03692688**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMA	10,000
COMB	10,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

VA

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 8180 GREENSBORO DR
STE 1000

CITY/ST/ZIP: MCLEAN, VA 22102

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	GREGORY D SULLIVAN	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	P/CEO		
ADDRESS:	8180 GREENSBORO DR, SUITE 1000		
CITY/ST/ZIP/CO:	MCLEAN, VA 22102		
NAME:	CECILY MISTARZ	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	SR VP		
ADDRESS:	111 W MONROE ST		
CITY/ST/ZIP/CO:	CHICAGO, IL 60603		
NAME:	PATRICK MURPHY	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	VICE PRESIDENT		
ADDRESS:	111 W. MONROE ST.		
CITY/ST/ZIP/CO:	CHICAGO, IL 60603		
NAME:	CAROLE FLICK	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	TREASURER		
ADDRESS:	111 W. MONROE ST.		
CITY/ST/ZIP/CO:	CHICAGO, IL 60603		
NAME:	JAMES BRUYETTE	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	MANAGING DIRECT		
ADDRESS:	8180 GREENSBORO DR, SUITE 1000		
CITY/ST/ZIP/CO:	MCLEAN, VA 22102		
NAME:	TERRY JENKINS	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	CHAIRMAN		
ADDRESS:	111 W MONROE ST		
CITY/ST/ZIP/CO:	CHICAGO, IL 60603		

NAME:	PETER SPEROS	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	MANAGING DIR		
ADDRESS:	8180 GREENSBORO DR., STE 1000		
CITY/ST/ZIP/CO:	MCLEAN, VA 22102		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ GREGORY D SULLIVAN</u>	<u>GREGORY D SULLIVAN, P/CEO</u>	<u>1/14/2013</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.