

1.) CORPORATION NAME:

**SULLIVAN, BRUYETTE, SPEROS & BLAYNEY, INC.**

DUE DATE: **1/31/2016**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM  
4701 COX ROAD, SUITE 285  
GLEN ALLEN, VA**

SCC ID NO: **03692688**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMA	10,000
COMB	10,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**VA**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 8180 GREENSBORO DR  
STE 1000

CITY/ST/ZIP: MCLEAN, VA 22102

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	GREGORY D SULLIVAN	
TITLE:	P/CEO	
ADDRESS:	8180 GREENSBORO DR, SUITE 1000	
CITY/ST/ZIP/CO:	MCLEAN, VA 22102	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	PATRICK MURPHY	
TITLE:	VICE PRESIDENT	
ADDRESS:	111 W. MONROE ST.	
CITY/ST/ZIP/CO:	CHICAGO, IL 60603	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	CAROLE FLICK	
TITLE:	TREASURER	
ADDRESS:	111 W. MONROE ST.	
CITY/ST/ZIP/CO:	CHICAGO, IL 60603	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	YANNICK ARCHAMBAULT	
TITLE:	CHAIR	
ADDRESS:	111 W MONROE ST	
CITY/ST/ZIP/CO:	CHICAGO, IL 60603	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	JAMES BRUYETTE	
TITLE:	MANAGING DIRECT	
ADDRESS:	8180 GREENSBORO DR, SUITE 1000	
CITY/ST/ZIP/CO:	MCLEAN, VA 22102	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	PETER SPEROS	
TITLE:	MANAGING DIR	
ADDRESS:	8180 GREENSBORO DR., STE 1000	
CITY/ST/ZIP/CO:	MCLEAN, VA 22102	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ GREGORY D SULLIVAN	GREGORY D SULLIVAN, P/CEO	1/5/2016
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.		