

SCC eFile
(6/10)

2010 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

210502778

1.) CORPORATION NAME:

UBS Financial Services Inc.

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI
CORPORATION SERVICE COMPANY
11 S 12TH ST
PO BOX 1463**

RICHMOND, VA 23218

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

DUE DATE: **11/30/2010**

SCC ID NO: **F0233132**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	100
PREFER	50

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1200 HARBOR BOULEVARD

CITY/ST/ZIP: WEEHAWKEN, NJ 07086-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: ROBERT MCCANN
TITLE: PRESIDENT
ADDRESS: 800 HARBOR BLVD
CITY/ST/ZIP/CO: WEEHAWKEN, NJ 07086-

OFFICER

DIRECTOR

NAME: WILLIAM FREY
TITLE: TREASURER
ADDRESS: 800 HARBOR BLVD
CITY/ST/ZIP/CO: WEEHAWKEN, NJ 07086-

OFFICER

DIRECTOR

NAME: TAMBRA S KING
TITLE: SECRETARY
ADDRESS: 800 HARBOR BLVD
CITY/ST/ZIP/CO: WEEHAWKEN, NJ 07086-

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ TAMBRA S KING

TAMBRA S KING, SECRETARY

10/4/2010

SIGNATURE OF DIRECTOR/OFFICER
LISTED IN THIS REPORT

PRINTED NAME AND CORPORATE
TITLE

DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.