

SCC eFile

2012 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

212538613

1.) CORPORATION NAME:

UBS Financial Services Inc.

DUE DATE: **11/30/2012**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

CORPORATION SERVICE COMPANY

**Bank of America Center, 16th Floor
1111 East Main Street**

SCC ID NO: **F0233132**

RICHMOND, VA 23219

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	100
PREFER	50

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1200 HARBOR BOULEVARD

CITY/ST/ZIP: WEEHAWKEN, NJ 07086

7.) DIRECTORS AND PRINCIPAL OFFICERS:

All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: ROBERT E. MULHOLLAND
TITLE: PRESIDENT
ADDRESS: 1200 HARBOR BLVD
CITY/ST/ZIP/CO: WEEHAWKEN, NJ 07086

OFFICER

DIRECTOR

NAME: TAMBRA S KING
TITLE: SECRETARY
ADDRESS: 1200 HARBOR BLVD
CITY/ST/ZIP/CO: WEEHAWKEN, NJ 07086

OFFICER

DIRECTOR

NAME: WILLIAM FREY
TITLE: TREASURER
ADDRESS: 1200 HARBOR BLVD
CITY/ST/ZIP/CO: WEEHAWKEN, NJ 07086

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ TAMBRA S KING

TAMBRA S KING, SECRETARY

10/5/2012

SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT

PRINTED NAME AND CORPORATE TITLE

DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.