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| 1.) CORPORATION NAME: HARTFORD LIFE AND ANNUITY INSURANCE COMPANY 2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: CT CORPORATION SYSTEM 4701 COX ROAD, SUITE 285 GLEN ALLEN, VA | DUE DATE: 12/31/2013 SCC ID NO: F0260507 5.) STOCK INFORMATION <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">CLASS</td> <td style="width:50%;">AUTHORIZED</td> </tr> <tr> <td>COMMON</td> <td>3,000</td> </tr> </table> | CLASS | AUTHORIZED | COMMON | 3,000 |
| CLASS | AUTHORIZED | | | | |
| COMMON | 3,000 | | | | |
| 3.) CITY OR COUNTY OF VA REGISTERED OFFICE: HENRICO COUNTY | | | | | |
| 4.) STATE OR COUNTRY OF INCORPORATION: CT | | | | | |

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: ONE HARTFORD PLAZA

CITY/ST/ZIP: HARTFORD, CT 06155

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

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| NAME: BETH BOMBARA TITLE: P/CEO/CHAIRMAN ADDRESS: ONE HARTFORD PLAZA CITY/ST/ZIP/CO: HARTFORD, CT 06155 | <input checked="" type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME: MARK J NILAND TITLE: SR VP ADDRESS: ONE HARTFORD PLAZA CITY/ST/ZIP/CO: HARTFORD, CT 06155 | <input checked="" type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME: ROBERT W PAIANO TITLE: SR VP/T ADDRESS: ONE HARTFORD PLAZA CITY/ST/ZIP/CO: HARTFORD, CT 06155 | <input checked="" type="checkbox"/> OFFICER | <input checked="" type="checkbox"/> DIRECTOR |
| NAME: TERENCE SHIELDS TITLE: CORP SEC ADDRESS: ONE HARTFORD PLAZA CITY/ST/ZIP/CO: HARTFORD, CT 06155 | <input checked="" type="checkbox"/> OFFICER | <input type="checkbox"/> DIRECTOR |

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

| | | |
|---|----------------------------------|------------|
| /s/ TERENCE SHIELDS | TERENCE SHIELDS, CORP SEC | 10/16/2013 |
| SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT | PRINTED NAME AND CORPORATE TITLE | DATE |

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.