

1.) CORPORATION NAME:

**Ameriprise Financial Services, Inc.**

DUE DATE: **1/31/2012**

SCC ID NO: **F0275786**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI**

**CT CORPORATION SYSTEM**

**4701 COX RD STE 301**

**GLEN ALLEN, VA 23060-6802**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	10,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**DE**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1098 AMERIPRISE FINANCIAL CENTER

CITY/ST/ZIP: MINNEAPOLIS, MN 55474-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: DONALD E. FROUDE  
TITLE: PRESIDENT/CEO  
ADDRESS: 1098 AMERIPRISE FINANCIAL CENTER  
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474-

OFFICER

DIRECTOR

NAME: KELLI ANN HUNTER  
TITLE: EXEC VP  
ADDRESS: 1098 AMERIPRISE FINANCIAL CENTER  
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474-

OFFICER

DIRECTOR

NAME: THOMAS RICHARD MOORE  
TITLE: SECRETARY  
ADDRESS: 1098 AMERIPRISE FINANCIAL CENTER  
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474-

OFFICER

DIRECTOR

NAME: SUSAN M. BELTZ  
TITLE: ASST SECRETARY  
ADDRESS: 1098 AMERIPRISE FINANCIAL CENTER  
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474-

OFFICER

DIRECTOR

NAME: JAMES LOUIS HAMALAINEN  
TITLE: TREASURER  
ADDRESS: 1098 AMERIPRISE FINANCIAL CENTER  
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474-

OFFICER

DIRECTOR

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	JOSEPH EDWARD SWEENEY	
TITLE:	DIRECTOR	
ADDRESS:	1098 AMERIPRISE FINANCIAL CENTER	
CITY/ST/ZIP/CO:	MINNEAPOLIS, MN 55474-	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ SUSAN M. BELTZ	SUSAN M. BELTZ, ASST SECRETARY	12/5/2011
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.