

1.) CORPORATION NAME: <b>Ameriprise Financial Services, Inc.</b>	DUE DATE: <b>1/31/2014</b>				
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: <b>CT CORPORATION SYSTEM 4701 COX ROAD, SUITE 285 GLEN ALLEN, VA</b>	SCC ID NO: <b>F0275786</b>				
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: <b>HENRICO COUNTY</b>	5.) STOCK INFORMATION <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">CLASS</td> <td style="width:50%;">AUTHORIZED</td> </tr> <tr> <td>COMMON</td> <td>10,000</td> </tr> </table>	CLASS	AUTHORIZED	COMMON	10,000
CLASS	AUTHORIZED				
COMMON	10,000				
4.) STATE OR COUNTRY OF INCORPORATION: <b>DE</b>					

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 707 2nd Ave So

CITY/ST/ZIP: Minneapolis, MN 55474

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: DONALD E. FROUDE TITLE: PRES/CEO ADDRESS: 707 2ND AVE SO CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
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NAME: JAMES LOUIS HAMALAINEN TITLE: TREASURER ADDRESS: 707 2ND AVE SO CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
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NAME: THOMAS RICHARD MOORE TITLE: SECRETARY ADDRESS: 707 2ND AVE SO CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
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NAME: JOSEPH EDWARD SWEENEY TITLE: DIRECTOR ADDRESS: 707 2ND AVE SO CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55474	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
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NAME: Susan M. Beltz TITLE: ASST SECRETARY ADDRESS: 707 2nd Ave So CITY/ST/ZIP/CO: Minneapolis, MN 55474	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
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I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ Susan M. Beltz	Susan M. Beltz,	12/4/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.