

1.) CORPORATION NAME:

**UNITED STATES AVIATION UNDERWRITERS,
INCORPORATED**

DUE DATE: **4/30/2011**

SCC ID NO: **F0364010**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI
CT CORPORATION SYSTEM
4701 COX RD STE 301
GLEN ALLEN, VA 23060-6802**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	600,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

NY

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 199 WATER ST
ONE SEAPORT PLAZA

CITY/ST/ZIP: NEW YORK, NY 10038-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: DAVID L MCKAY
TITLE: P/COO
ADDRESS: 199 WATER ST
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: LESLIE J DAVIS
TITLE: SEC/GC
ADDRESS: 199 WATER ST
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: WILLIAM J LICHTER
TITLE: EVP/CFO
ADDRESS: 199 WATER ST
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: MICHAEL L SWEENEY
TITLE: CEO/CHRMN
ADDRESS: 199 WATER ST
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: JOSEPH F PAINO
TITLE: CONTROLLER
ADDRESS: 199 WATER ST
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: ROSANNE T APONTE OFFICER DIRECTOR
TITLE: AVP Regulatory
ADDRESS: 199 WATER STREET
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ ROSANNE T APONTE ROSANNE T APONTE, AVP 3/31/2011
SIGNATURE OF DIRECTOR/OFFICER Regulatory DATE
LISTED IN THIS REPORT PRINTED NAME AND CORPORATE
TITLE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.