

1.) CORPORATION NAME:

**UNITED STATES AVIATION UNDERWRITERS,  
INCORPORATED**

DUE DATE: **4/30/2012**

SCC ID NO: **F0364010**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI  
CT CORPORATION SYSTEM  
4701 COX RD STE 301  
GLEN ALLEN, VA 23060-6802**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	600,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**NY**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 199 WATER ST  
ONE SEAPORT PLAZA

CITY/ST/ZIP: NEW YORK, NY 10038-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: DAVID L MCKAY  
TITLE: P/COO  
ADDRESS: 199 WATER ST  
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: LESLIE J DAVIS  
TITLE: SEC/GC  
ADDRESS: 199 WATER ST  
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: WILLIAM J LICHTER  
TITLE: EVP/CFO  
ADDRESS: 199 WATER ST  
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: MICHAEL L SWEENEY  
TITLE: CEO/CHRMN  
ADDRESS: 199 WATER ST  
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

NAME: ROSANNE T APONTE  
TITLE: AVP REGULATORY  
ADDRESS: 199 WATER STREET  
CITY/ST/ZIP/CO: NEW YORK, NY 10038-

OFFICER

DIRECTOR

OFFICER       DIRECTOR

NAME:                    JOSEPH F PAINO  
TITLE:                    CONTROLLER  
ADDRESS:                199 WATER ST  
CITY/ST/ZIP/CO:        NEW YORK, NY 10038-

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ ROSANNE T APONTE</u>	<u>ROSANNE T APONTE, AVP</u>	<u>3/20/2012</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	<u>REGULATORY</u> PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.