

1.) CORPORATION NAME:

**UNITED STATES AVIATION UNDERWRITERS,  
INCORPORATED**

DUE DATE: **4/30/2014**

SCC ID NO: **F0364010**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM  
4701 COX ROAD, SUITE 285  
GLEN ALLEN, VA**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	600,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**NY**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 125 Broad Street, 6th Floor

CITY/ST/ZIP: New York, NY 10004

7.) DIRECTORS AND PRINCIPAL OFFICERS:

All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	David L McKay	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	P/CEO		
ADDRESS:	125 Broad Street, 6th Floor		
CITY/ST/ZIP/CO:	New York, NY 10004		

NAME:	Nicholas S. Canelos	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	SEC/GC		
ADDRESS:	120 Long Ridge Road		
CITY/ST/ZIP/CO:	Stamford, CT 06902		

NAME:	William J. Lichte	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	EVP/CFO		
ADDRESS:	125 Broad Street, 6th Floor		
CITY/ST/ZIP/CO:	New York, NY 10004		

NAME:	William F. Ranieri	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	125 Broad Street, 6th Floor		
CITY/ST/ZIP/CO:	New York, NY 10004		

NAME:	Damon N. Vocke	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	CHAIRMAN		
ADDRESS:	120 Long Ridge Road		
CITY/ST/ZIP/CO:	Stamford, CT 06902		

NAME:	Matthew A. McMahon	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	TREASURER		
ADDRESS:	125 Broad Street, 6th Floor		
CITY/ST/ZIP/CO:	New York, NY 10004		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ Nicholas S. Canelos	Nicholas S. Canelos, SEC/GC	3/11/2014
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.		