

SCC eFile
(6/10)

2011 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

211520892

1.) CORPORATION NAME:

UBS Global Asset Management (US) Inc.

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CORPORATION SERVICE COMPANY

Bank of America Center, 16th Floor

1111 East Main Street

RICHMOND, VA 23219

DUE DATE: **8/31/2011**

SCC ID NO: **F0381402**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000
PREFER	200

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1285 AVE OF AMERICAS

CITY/ST/ZIP: NEW YORK, NY 10019-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: MARK F. KEMPER
TITLE: SECRETARY
ADDRESS: 1 N. WACKER DRIVE
CITY/ST/ZIP/CO: CHICAGO, IL 60606-

OFFICER

DIRECTOR

NAME: BARRY M MANDINACH
TITLE: PRES/DIRECTOR
ADDRESS: 1285 AVE OF AMERICAS
CITY/ST/ZIP/CO: NEW YORK, NY 10019-

OFFICER

DIRECTOR

NAME: SHAWN LYTLER
TITLE: PRESIDENT
ADDRESS: 1285 AVE OF AMERICAS
CITY/ST/ZIP/CO: NEW YORK, NY 10019-

OFFICER

DIRECTOR

NAME: JOHN C. MOORE
TITLE: PRESIDENT
ADDRESS: 1 N. WACKER DRIVE
CITY/ST/ZIP/CO: CHICAGO, IL 60606-

OFFICER

DIRECTOR

NAME: KIMBERLY GUERIN
TITLE: ASST TREASURER
ADDRESS: ONE NORTH WACKER DRIVE
CITY/ST/ZIP/CO: CHICAGO, IL 60606-

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ MARK F. KEMPER</u>	<u>MARK F. KEMPER, SECRETARY</u>	<u>9/9/2011</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.