

SCC eFile

2013 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

213536536

1.) CORPORATION NAME:

UBS Global Asset Management (US) Inc.

DUE DATE: **8/31/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CORPORATION SERVICE COMPANY
Bank of America Center, 16th Floor
1111 East Main Street**

SCC ID NO: **F0381402**

RICHMOND, VA

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000
PREFER	200

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1285 AVE OF AMERICAS

CITY/ST/ZIP: NEW YORK, NY 10019

7.) DIRECTORS AND PRINCIPAL OFFICERS:

All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: BARRY M MANDINACH OFFICER DIRECTOR
 TITLE: PRES/DIRECTOR
 ADDRESS: 1285 AVE OF AMERICAS
 CITY/ST/ZIP/CO: NEW YORK, NY 10019

NAME: SHAWN LYTLE OFFICER DIRECTOR
 TITLE: VICE PRESIDENT
 ADDRESS: 1285 AVE OF AMERICAS
 CITY/ST/ZIP/CO: NEW YORK, NY 10019

NAME: JOHN MOORE OFFICER DIRECTOR
 TITLE: TREASURER
 ADDRESS: 1285 AVE OF AMERICAS
 CITY/ST/ZIP/CO: NEW YORK, NY 10019

NAME: KIMBERLY GUERIN OFFICER DIRECTOR
 TITLE: ASST TREASURER
 ADDRESS: 1285 AVE OF AMERICAS
 CITY/ST/ZIP/CO: NEW YORK, NY 10019

NAME: MARK F. KEMPER OFFICER DIRECTOR
 TITLE: SECRETARY
 ADDRESS: 1285 AVE OF AMERICAS
 CITY/ST/ZIP/CO: NEW YORK, NY 10019

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ MARK F. KEMPER

MARK F. KEMPER, SECRETARY

8/6/2013

SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT

PRINTED NAME AND CORPORATE TITLE

DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.