

1.) CORPORATION NAME:

Piper Jaffray & Co.

DUE DATE: **3/31/2012**

SCC ID NO: **F0382590**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CT CORPORATION SYSTEM

4701 COX RD STE 301

GLEN ALLEN, VA 23060-6802

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000
PREFER	3,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 800 NICOLLET MALL

CITY/ST/ZIP: MINNEAPOLIS, MN 55402-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: ANDREW S DUFF
TITLE: PRES/CEO/CHRMN
ADDRESS: 800 NICOLLET MALL
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-

OFFICER

DIRECTOR

NAME: JOHN W GEELAN
TITLE: AST SEC
ADDRESS: 800 NICOLLET MALL
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-

OFFICER

DIRECTOR

NAME: JOHN W GEELAN
TITLE: ASST SECRETARY
ADDRESS: 800 NICOLLET MALL
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-

OFFICER

DIRECTOR

NAME: DEBBRA L SCHONEMAN
TITLE: CFO
ADDRESS: 800 NICOLLET MALL
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-

OFFICER

DIRECTOR

NAME: FRANK E. FAIRMAN
TITLE: DIRECTOR
ADDRESS: 800 NICOLLET MALL
CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-

OFFICER

DIRECTOR

NAME: CHAD R. ABRAHAM TITLE: DIRECTOR ADDRESS: 800 NICOLLET MALL CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
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NAME: M. BRADLEY WINGES TITLE: DIRECTOR ADDRESS: 800 NICOLLET MALL CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
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NAME: ROBERT W. PETERSON TITLE: DIRECTOR ADDRESS: 800 NICOLLET MALL CITY/ST/ZIP/CO: MINNEAPOLIS, MN 55402-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
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I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ JOHN W GEELAN	JOHN W GEELAN, AST SEC	3/14/2012
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.