

1.) CORPORATION NAME:

RAYMOND, JAMES & ASSOCIATES, INC.

DUE DATE: **4/30/2014**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM
4701 COX ROAD, SUITE 285
GLEN ALLEN, VA**

SCC ID NO: **F0383614**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	4,000,000
PREFER	200,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

FL

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 880 Carillon Parkway

CITY/ST/ZIP: St. Petersburg, FL 33716

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: Dennis W. Zank	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE: CEO		
ADDRESS: 880 Carillon Parkway		
CITY/ST/ZIP/CO: St. Petersburg, FL 33716		
NAME: Thomas R. Tremaine	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE: EVP		
ADDRESS: 880 Carillon Parkway		
CITY/ST/ZIP/CO: St. Petersburg, FL 33716		
NAME: Richard B. Franz, II	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE: TREASURER		
ADDRESS: 880 Carillon Parkway		
CITY/ST/ZIP/CO: St. Petersburg, FL 33716		
NAME: Anita M. Kailing	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE: ASST SECRETARY		
ADDRESS: 880 Carillon Parkway		
CITY/ST/ZIP/CO: St. Petersburg, FL 33716		
NAME: Paul L. Matecki	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE: SECRETARY		
ADDRESS: 880 Carillon Parkway		
CITY/ST/ZIP/CO: St. Petersburg, FL 33716		
NAME: Thomas A. James	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE: CHAIRMAN		
ADDRESS: 880 Carillon Parkway		
CITY/ST/ZIP/CO: St. Petersburg, FL 33716		

NAME:	Jeffrey P. Julien	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	880 Carillon Parkway		
CITY/ST/ZIP/CO:	St. Petersburg, FL 33716		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ Paul L. Matecki	Paul L. Matecki, SECRETARY	3/31/2014
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.