

1.) CORPORATION NAME:

WADDELL & REED, INC.

DUE DATE: **9/30/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM
4701 COX ROAD, SUITE 285
GLEN ALLEN, VA**

SCC ID NO: **F0393407**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 6300 LAMAR AVENUE

CITY/ST/ZIP: OVERLAND PARK, KS 66202

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

<p>NAME: THOMAS W BUTCH TITLE: P/COB ADDRESS: 6300 LAMAR AVENUE CITY/ST/ZIP/CO: OVERLAND PARK, KS 66202</p>	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
<p>NAME: WENDY J HILLS TITLE: SVP/SEC ADDRESS: 6300 LAMAR AVENUE CITY/ST/ZIP/CO: OVERLAND PARK, KS 66202</p>	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
<p>NAME: BRENT K BLOSS TITLE: PFO/PAO/SVP-F/T ADDRESS: 6300 LAMAR AVENUE CITY/ST/ZIP/CO: OVERLAND PARK, KS 66202</p>	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
<p>NAME: MICHAEL D STROHM TITLE: CEO/COO ADDRESS: 6300 LAMAR AVENUE CITY/ST/ZIP/CO: OVERLAND PARK, KS 66202</p>	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
<p>NAME: STEVEN E ANDERSON TITLE: SEVP/NSM ADDRESS: 6300 LAMAR AVENUE CITY/ST/ZIP/CO: OVERLAND PARK, KS 66202</p>	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
<p>NAME: JAMES D. HUGHES TITLE: ASST SECRETARY ADDRESS: 6300 LAMAR AVENUE CITY/ST/ZIP/CO: OVERLAND PARK, KS 66202</p>	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ JAMES D. HUGHES	JAMES D. HUGHES, ASST	11/8/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	SECRETARY PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.