

1.) CORPORATION NAME:

CAPITAL BROKERAGE CORPORATION

DUE DATE: **10/31/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CORPORATION SERVICE COMPANY
Bank of America Center, 16th Floor
1111 East Main Street**

SCC ID NO: **F0580672**

RICHMOND, VA

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	100,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

WA

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 6620 W BROAD ST

CITY/ST/ZIP: RICHMOND, VA 23230

7.) DIRECTORS AND PRINCIPAL OFFICERS:

All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	SCOTT R REEKS	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	SVP		
ADDRESS:	6620 W BROAD ST		
CITY/ST/ZIP/CO:	RICHMOND, VA 23230		
NAME:	GARY T PRIZZIA	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	TREASURER		
ADDRESS:	6620 W BROAD ST		
CITY/ST/ZIP/CO:	RICHMOND, VA 23230		
NAME:	PAUL A HALEY	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	PRES & CEO		
ADDRESS:	6620 WEST BROAD ST		
CITY/ST/ZIP/CO:	RICHMOND, VA 23230		
NAME:	VIDAL J TORRES JR	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	SECRETARY		
ADDRESS:	6620 W BROAD ST		
CITY/ST/ZIP/CO:	RICHMOND, VA 23230		
NAME:	JOHN G APOSTLE II	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	6620 W BROAD ST		
CITY/ST/ZIP/CO:	RICHMOND, VA 23230		
NAME:	THOMAS E DUFFY	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	VICE PRESIDENT		
ADDRESS:	6620 WEST BROAD STREET		
CITY/ST/ZIP/CO:	RICHMOND, VA 23230		

NAME: MARTIN P KLEIN TITLE: SVP ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: HOPE M VAUGHAN TITLE: ASST SECRETARY ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: VIDAL J TORRES JR TITLE: VP & SEC ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: SCOTT E WOLFE TITLE: SVP & CCO ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: DANIEL P HEALY TITLE: VICE PRESIDENT ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: THERESA A MYERS TITLE: ASST SECRETARY ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: JOSEPH C EARLEY TITLE: ASST TREASURER ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: EDWARD A TEPPER TITLE: ASST TREASURER ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: RICHARD K TANGARD TITLE: ASST TREASURER ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: LINDA C BAGNELL TITLE: FIN OPER PRIN ADDRESS: 6620 WEST BROAD STREET CITY/ST/ZIP/CO: RICHMOND, VA 23230	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.		
/s/ HOPE MVAUGHAN	HOPE MVAUGHAN,	10/24/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.		