

SCC eFile  
(6/10)

2011 ANNUAL REPORT  
COMMONWEALTH OF VIRGINIA  
STATE CORPORATION COMMISSION

211512946

1.) CORPORATION NAME:

**ROYAL ALLIANCE ASSOCIATES, INC.**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI**

**CORPORATION SERVICE COMPANY**

**Bank of America Center, 16th Floor**

**1111 East Main Street**

**RICHMOND, VA 23219**

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**RICHMOND CITY**

4.) STATE OR COUNTRY OF INCORPORATION:

**DE**

DUE DATE: **6/30/2011**

SCC ID NO: **F1006396**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,500,000

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: ONE WORLD FINANCIAL CENTER  
15TH FL, 200 LIBERTY ST

CITY/ST/ZIP: NEW YORK, NY 10281-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: ARTHUR M TAMBARO  
TITLE: PRES/VICE CHR MN  
ADDRESS: 200 LIBERTY ST, 15TH FL  
CITY/ST/ZIP/CO: NEW YORK, NY 10281-

OFFICER

DIRECTOR

NAME: GREGORY CURLEY  
TITLE: ASST SEC  
ADDRESS: 200 LIBERTY ST, 15TH FLOOR  
CITY/ST/ZIP/CO: NEW YORK, NY 10281-

OFFICER

DIRECTOR

NAME: R LAWRENCE ROTH  
TITLE: CHAIRMAN/CEO  
ADDRESS: 200 LIBERTY ST, 15TH FLOOR  
CITY/ST/ZIP/CO: NEW YORK, NY 10281-

OFFICER

DIRECTOR

NAME: DANIEL O WILLIAMS  
TITLE: VP/TREASURER  
ADDRESS: 2300 WINDY RIDGE PKWY  
STE 1100  
CITY/ST/ZIP/CO: ATLANTA, GA 30339-

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ GREGORY CURLEY

GREGORY CURLEY, ASST SEC

6/20/2011

SIGNATURE OF DIRECTOR/OFFICER  
LISTED IN THIS REPORT

PRINTED NAME AND CORPORATE  
TITLE

DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.