

1.) CORPORATION NAME:

ROYAL ALLIANCE ASSOCIATES, INC.

DUE DATE: **6/30/2012**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CORPORATION SERVICE COMPANY
Bank of America Center, 16th Floor
1111 East Main Street**

SCC ID NO: **F1006396**

RICHMOND, VA 23219

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,500,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: ONE WORLD FINANCIAL CENTER
15TH FL, 200 LIBERTY ST

CITY/ST/ZIP: NEW YORK, NY 10281

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	ARTHUR M TAMBARO	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	PRES/VICE CHRMN		
ADDRESS:	200 LIBERTY ST, 15TH FL		
CITY/ST/ZIP/CO:	NEW YORK, NY 10281		

NAME:	SIMMONE FIELDS	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	VP/TREASURER		
ADDRESS:	2300 WINDY RIDGE PKWY STE 1100		
CITY/ST/ZIP/CO:	ATLANTA, GA 30339		

NAME:	GREGORY M CURLEY	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	ASST SEC		
ADDRESS:	200 LIBERTY ST, 15TH FLOOR		
CITY/ST/ZIP/CO:	NEW YORK, NY 10281		

NAME:	R LAWRENCE ROTH	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	CHAIRMAN/CEO		
ADDRESS:	200 LIBERTY ST, 15TH FLOOR		
CITY/ST/ZIP/CO:	NEW YORK, NY 10281		

NAME:	TERRY FESTERVAND	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	VICE PRESIDENT		
ADDRESS:	2300 WINDY RIDGE PKWY STE 1100		
CITY/ST/ZIP/CO:	ATLANTA, GA 30339		

NAME:	NOAH SORKIN	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	200 LIBERTY ST, 15TH FL		
CITY/ST/ZIP/CO:	NEW YORK, NY 10281		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ GREGORY M CURLEY	GREGORY M CURLEY, ASST SEC	6/14/2012
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.