

SCC eFile

**2013 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION**

213540069

1.) CORPORATION NAME:

WISS, JANNEY, ELSTNER ASSOCIATES, INC.

DUE DATE: **8/31/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**BUSINESS FILINGS INCORPORATED
4701 COX RD STE 301
GLEN ALLEN, VA**

SCC ID NO: **F1081050**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000,000
PREFER	500,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

IL

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 330 PFINGSTEN RD

CITY/ST/ZIP: NORTHBROOK, IL 60062

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	WILLIAM J NUGENT	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	PRESIDENT		
ADDRESS:	330 PFINGSTEN RD		
CITY/ST/ZIP/CO:	NORTHBROOK, IL 60062		

NAME:	THOMAS S OCZKOWSKI	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	T/VP		
ADDRESS:	330 PFINGSTEN RD		
CITY/ST/ZIP/CO:	NORTHBROOK, IL 60062		

NAME:	IAN R CHIN	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	VICE PRESIDENT		
ADDRESS:	10 S LASALLE STE 2600		
CITY/ST/ZIP/CO:	CHICAGO, IL 60603		

NAME:	GARY J KLEIN	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	EVP		
ADDRESS:	330 PFINGSTEN RD		
CITY/ST/ZIP/CO:	NORTHBROOK, IL 60062		

NAME:	STEPHEN S SAFRANEK	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	SECRETARY		
ADDRESS:	330 PFINGSTEN ROAD		
CITY/ST/ZIP/CO:	NORTHBROOK, IL 60062		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ THOMAS S OCZKOWSKI	THOMAS S OCZKOWSKI, T/VP	8/27/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.