

1.) CORPORATION NAME: <b>W&amp;S Financial Group Distributors, Inc.</b>	DUE DATE: <b>6/30/2013</b>
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: <b>CT CORPORATION SYSTEM 4701 COX RD STE 301 GLEN ALLEN, VA</b>	SCC ID NO: <b>F1109174</b>
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: <b>HENRICO COUNTY</b>	5.) STOCK INFORMATION CLASS <input type="checkbox"/> AUTHORIZED <input type="checkbox"/>
4.) STATE OR COUNTRY OF INCORPORATION: <b>OH</b>	

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 303 BROADWAY STE 1100  
CITY/ST/ZIP: CINCINNATI, OH 45202

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: MARK E CANER TITLE: PRESIDENT ADDRESS: 303 BROADWAY STE 1100 CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input checked="" type="checkbox"/>	OFFICER	<input type="checkbox"/>	DIRECTOR
NAME: RICHARD K TAULBEE TITLE: VICE PRESIDENT ADDRESS: 400 BROADWAY CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input checked="" type="checkbox"/>	OFFICER	<input type="checkbox"/>	DIRECTOR
NAME: JAMES J VANCE TITLE: VP/T ADDRESS: 303 BOADWAY STE 1100 CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input checked="" type="checkbox"/>	OFFICER	<input type="checkbox"/>	DIRECTOR
NAME: JILL T MCGRUDER TITLE: DIRECTOR ADDRESS: 303 BROADWAY STE 1100 CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input type="checkbox"/>	OFFICER	<input checked="" type="checkbox"/>	DIRECTOR
NAME: Kathleen Cornelius TITLE: ASST TREASURER ADDRESS: 400 Broadway CITY/ST/ZIP/CO: Cincinnati, OH 45202	<input checked="" type="checkbox"/>	OFFICER	<input type="checkbox"/>	DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ Kathleen Cornelius	Kathleen Cornelius, ASST TREASURER	6/6/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.