

1.) CORPORATION NAME: <b>W&amp;S Financial Group Distributors, Inc.</b>	DUE DATE: <b>6/30/2016</b>				
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: <b>CT CORPORATION SYSTEM 4701 COX ROAD, SUITE 285 GLEN ALLEN, VA</b>	SCC ID NO: <b>F1109174</b>				
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: <b>HENRICO COUNTY</b>	5.) STOCK INFORMATION <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">CLASS</td> <td style="width:50%;">AUTHORIZED</td> </tr> <tr> <td>COMMON</td> <td>1,000</td> </tr> </table>	CLASS	AUTHORIZED	COMMON	1,000
CLASS	AUTHORIZED				
COMMON	1,000				
4.) STATE OR COUNTRY OF INCORPORATION: <b>OH</b>					

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 303 BROADWAY STE 1100

CITY/ST/ZIP: CINCINNATI, OH 45202

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: MARK E CANER TITLE: PRESIDENT ADDRESS: 303 BROADWAY STE 1100 CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
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NAME: DANIEL LARSEN TITLE: VICE PRESIDENT ADDRESS: 400 BROADWAY CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
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NAME: JAMES J VANCE TITLE: VP/T ADDRESS: 303 BOADWAY STE 1100 CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
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NAME: KATHLEEN CORNELIUS TITLE: ASST TREASURER ADDRESS: 400 BROADWAY CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
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NAME: JILL T MCGRUDER TITLE: DIRECTOR ADDRESS: 303 BROADWAY STE 1100 CITY/ST/ZIP/CO: CINCINNATI, OH 45202	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
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I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ KATHLEEN CORNELIUS SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	KATHLEEN CORNELIUS, ASST TREASURER PRINTED NAME AND CORPORATE TITLE	5/19/2016 DATE
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It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.