

1.) CORPORATION NAME:

**FIRST EMPIRE SECURITIES, INC.**

DUE DATE: **5/31/2011**

SCC ID NO: **F1209214**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI**

**CT CORPORATION SYSTEM**

**4701 COX RD**

**GLEN ALLEN, VA 23060**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	200

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**NY**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 100 MOTOR PARKWAY

CITY/ST/ZIP: HAUPPAUGE, NY 11788-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: MICHAEL BELFIORE  
TITLE: PRESIDENT  
ADDRESS: 100 MOTOR PARKWAY  
CITY/ST/ZIP/CO: HAUPPAUGE, NY 11788-

OFFICER

DIRECTOR

NAME: LENA BHOLAN  
TITLE: CFO  
ADDRESS: 100 MOTOR PARKWAY  
CITY/ST/ZIP/CO: HAUPPAUGE, NY 11788-

OFFICER

DIRECTOR

NAME: CHARLES FELKER  
TITLE: DIRECTOR  
ADDRESS: 7845 WINTERGRESS LANE  
CITY/ST/ZIP/CO: SPRINGFIELD, VA 22152-

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ LENA BHOLAN

LENA BHOLAN, CFO

4/27/2011

SIGNATURE OF DIRECTOR/OFFICER  
LISTED IN THIS REPORT

PRINTED NAME AND CORPORATE  
TITLE

DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.