

1.) CORPORATION NAME:

DUE DATE: **5/31/2014**

SII Investments, Inc.

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

SCC ID NO: **F1214594**

**CT CORPORATION SYSTEM
4701 COX ROAD, SUITE 285
GLEN ALLEN, VA**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	50,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

WI

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 5555 GRANDE MARKET DRIVE

CITY/ST/ZIP: APPLETON, WI 54913

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

<p>NAME: TODD M KINART TITLE: P/CEO ADDRESS: 5555 W GRANDE MARKET DR CITY/ST/ZIP/CO: APPLETON, WI 54913</p>	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
<p>NAME: DAVID LOASBY TITLE: VP/CCO ADDRESS: 5555 GRANDE MARKET DRIVE CITY/ST/ZIP/CO: APPLETON, WI 54913</p>	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
<p>NAME: MAURA COLLINS TITLE: CFO/FNOP/TREAS ADDRESS: 7601 Technology Way CITY/ST/ZIP/CO: Denver, CO 80237</p>	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
<p>NAME: JULIA GOATLEY TITLE: ASST SECRETARY ADDRESS: 1 CORPORATE WAY CITY/ST/ZIP/CO: LANSING, MI 48951</p>	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
<p>NAME: CLIFF JACK TITLE: DIRECTOR ADDRESS: 7601 TECHNOLOGY WAY CITY/ST/ZIP/CO: DENVER, CO 80237</p>	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
<p>NAME: Gerry Gunderson TITLE: DIRECTOR ADDRESS: 7601 Technology Way CITY/ST/ZIP/CO: Denver, CO 80237</p>	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	James P Miller VICE PRESIDENT 5555 W Grande Market Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Dawn Kalinowski VICE PRESIDENT 5555 W Grande Maket Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Ryan Armock VICE PRESIDENT 5555 W Grande Market Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Brian Carlson VICE PRESIDENT 5555 W Grande Market Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Janice Herman VICE PRESIDENT 5555 W Grande Market Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Alice Naef VICE PRESIDENT 5555 W Grande Market Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Kelly VanAlstine VICE PRESIDENT 5555 W Grande Market Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Susan Witthuhn VICE PRESIDENT 5555 W Grande Market Drive Appleton, WI 54913	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ TODD M KINART	TODD M KINART, P/CEO	4/15/2014
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.