

1.) CORPORATION NAME:

**Universal Surety of America Corporation (USED INVA BY:  
Universal Surety of America)**

DUE DATE: **9/30/2013**

SCC ID NO: **F1227992**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM  
4701 COX RD STE 301  
GLEN ALLEN, VA**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	5,000,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**SD**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 101 SOUTH REID ST.

CITY/ST/ZIP: SIOUX FALLS, SD 57103

7.) DIRECTORS AND PRINCIPAL OFFICERS:

All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	ROBERT A. LINDEMANN	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	PRESIDENT		
ADDRESS:	333 S. WABASH AVE.		
CITY/ST/ZIP/CO:	CHICAGO, IL 60604		

NAME:	TIMOTHY J. SZERLONG	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	PRESIDENT		
ADDRESS:	333 S. WABASH AVE.		
CITY/ST/ZIP/CO:	CHICAGO, IL 60604		

NAME:	ALBERT J. MIRALLES, JR.	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	TREASURER		
ADDRESS:	333 S. WABASH AVE.		
CITY/ST/ZIP/CO:	CHICAGO, IL 60604		

NAME:	LARRY A. HAEFNER	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	EVP		
ADDRESS:	333 S. WABASH AVE.		
CITY/ST/ZIP/CO:	CHICAGO, IL 60604		

NAME:	JONATHAN D KANTOR	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	SECRETARY		
ADDRESS:	333 S WABASH AVE		
CITY/ST/ZIP/CO:	CHICAGO, IL 60604		

NAME:	D. CRAIG MENSE	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	CFO		
ADDRESS:	333 S. WABASH AVE.		
CITY/ST/ZIP/CO:	CHICAGO, IL 60604		

NAME: THOMAS F. MOTAMED TITLE: CEO ADDRESS: 333 S. WABASH AVE. CITY/ST/ZIP/CO: CHICAGO, IL 60604	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: THOMAS PONTARELLI TITLE: EVP ADDRESS: 333 S. WABASH AVE. CITY/ST/ZIP/CO: CHICAGO, IL 60604	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: STATHY DARCY TITLE: SVP ADDRESS: 333 S. WABASH AVE. CITY/ST/ZIP/CO: CHICAGO, IL 60604	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: GEORGE R. FAY TITLE: EVP ADDRESS: 333 S. WABASH AVE. CITY/ST/ZIP/CO: CHICAGO, IL 60604	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: MARK I. HERMAN TITLE: PRESIDENT ADDRESS: 333 S. WABASH AVE. CITY/ST/ZIP/CO: CHICAGO, IL 60604	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.		
/s/ STATHY DARCY SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	STATHY DARCY, SVP PRINTED NAME AND CORPORATE TITLE	9/5/2013 DATE
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.		