

1.) CORPORATION NAME: SHERMAN, CLAY & CO. 2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: NATIONAL CORPORATE RESEARCH LTD 250 BROWNS HILL COURT MIDLOTHIAN, VA	DUE DATE: 4/30/2013 SCC ID NO: F1290339 5.) STOCK INFORMATION <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">CLASS</td> <td style="width:50%;">AUTHORIZED</td> </tr> </table>	CLASS	AUTHORIZED
CLASS	AUTHORIZED		
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: CHESTERFIELD COUNTY			
4.) STATE OR COUNTRY OF INCORPORATION: IN			

6.) PRINCIPAL OFFICE ADDRESS: ADDRESS: 1111 BAYHILL DRIVE SUITE 450 CITY/ST/ZIP: SAN BRUNO, CA 94066
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7.) DIRECTORS AND PRINCIPAL OFFICERS:	All directors and principal officers must be listed. An individual may be designated as both a director and an officer.
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NAME: FRED W CONCKLIN TITLE: P/CEO/AS ADDRESS: 1111 BAYHILL DRIVE SUITE 450 CITY/ST/ZIP/CO: SAN BRUNO, CA 94066	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: VICTOR J RICHMOND TITLE: SR VP/CFO/AS ADDRESS: 1111 BAYHILL DRIVE SUITE 450 CITY/ST/ZIP/CO: SAN BRUNO, CA 94066	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: ERIC A SCHWARTZ TITLE: EVP/S/CEO ADDRESS: 1111 BAYHILL DRIVE SUITE 450 CITY/ST/ZIP/CO: SAN BRUNO, CA 94066	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: MICHAEL L SCHWARTZ TITLE: EVP/AS/CEO/ ADDRESS: 1111 BAYHILL DRIVE SUITE 450 CITY/ST/ZIP/CO: SAN BRUNO, CA 94066	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: DONALD N RAVITCH TITLE: COB ADDRESS: 1111 BAYHILL DRIVE SUITE 450 CITY/ST/ZIP/CO: SAN BRUNO, CA 94066	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ FRED W CONCKLIN	FRED W CONCKLIN, P/CEO/AS	4/16/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.