

1.) CORPORATION NAME: BBVA Compass Financial Corporation	DUE DATE: 5/31/2014						
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: CT CORPORATION SYSTEM 4701 COX ROAD, SUITE 285 GLEN ALLEN, VA	SCC ID NO: F1292806						
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: HENRICO COUNTY	5.) STOCK INFORMATION <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">CLASS</th> <th style="width: 50%;">AUTHORIZED</th> </tr> </thead> <tbody> <tr> <td>COMMON</td> <td style="text-align: center;">1,000</td> </tr> <tr> <td>PREFER</td> <td style="text-align: center;">250</td> </tr> </tbody> </table>	CLASS	AUTHORIZED	COMMON	1,000	PREFER	250
CLASS	AUTHORIZED						
COMMON	1,000						
PREFER	250						
4.) STATE OR COUNTRY OF INCORPORATION: AL							

6.) PRINCIPAL OFFICE ADDRESS: ADDRESS: 15 S 20TH ST CITY/ST/ZIP: BIRMINGHAM, AL 35233	
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7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: KENT WITTMAN TITLE: PRESIDENT ADDRESS: 8080 NORTH CENTRAL EXPRESSWAY CITY/ST/ZIP/CO: DALLAS, TX 75206	<input checked="" type="checkbox"/>	OFFICER	<input checked="" type="checkbox"/>	DIRECTOR
NAME: BRIAN HERRICK TITLE: SECRETARY ADDRESS: 2200 POST OAK BLVD CITY/ST/ZIP/CO: HOUSTON, TX 77056	<input checked="" type="checkbox"/>	OFFICER	<input type="checkbox"/>	DIRECTOR
NAME: KIRK PRESSLEY TITLE: TREASURER ADDRESS: 15 SOUTH 20TH STREET CITY/ST/ZIP/CO: BIRMINGHAM, AL 35233	<input checked="" type="checkbox"/>	OFFICER	<input type="checkbox"/>	DIRECTOR
NAME: RAFAEL BUSTILLO TITLE: DIRECTOR ADDRESS: 2200 POST OAK BLVD CITY/ST/ZIP/CO: HOUSTON, TX 77056	<input type="checkbox"/>	OFFICER	<input checked="" type="checkbox"/>	DIRECTOR
NAME: WAYNE OWNBY TITLE: VICE PRESIDENT ADDRESS: 159 CROCKER PARK BLVD CITY/ST/ZIP/CO: WESTLAKE, OH 44145	<input checked="" type="checkbox"/>	OFFICER	<input type="checkbox"/>	DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ KIRK PRESSLEY	KIRK PRESSLEY, TREASURER	5/9/2014
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.