

1.) CORPORATION NAME:

H. D. Vest Investment Securities, Inc.

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI
CORPORATION SERVICE COMPANY
11 S 12TH ST
PO BOX 1463**

RICHMOND, VA 23218

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

TX

DUE DATE: **10/29/2010**

SCC ID NO: **F1314204**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	900,000

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 6333 N STATE HWY 161 #400

CITY/ST/ZIP: IRVING, TX 75038-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	ROGER C OCHS	
TITLE:	PRES/SEC	
ADDRESS:	6333 N STATE HWY 161 SUITE 400	
CITY/ST/ZIP/CO:	IRVING, TX 75038-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	JEFF KLEIN	
TITLE:	ASST SECRETARY	
ADDRESS:	6333 N STATE HWY 161 #400	
CITY/ST/ZIP/CO:	IRVING, TX 75038-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	JOEL BENNETT	
TITLE:	TREASURER	
ADDRESS:	6333 N STATE HWY 161 #400	
CITY/ST/ZIP/CO:	IRVING, TX 75038-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	NEAL HEIFETZ	
TITLE:	ASST SECRETARY	
ADDRESS:	6333 N STATE HWY 161 SUITE 400	
CITY/ST/ZIP/CO:	IRVING, TX 75038-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	BRIAN A STERN	
TITLE:	ASST SECRETARY	
ADDRESS:	6333 NORTH STATE HWY 161 SUITE 400	
CITY/ST/ZIP/CO:	IRVING, TX 75038-	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ JOEL BENNETT</u>	<u>JOEL BENNETT, TREASURER</u>	<u>10/27/2010</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.