

1.) CORPORATION NAME:

NATIONAL PLANNING CORPORATION

DUE DATE: **6/30/2012**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM
4701 COX RD STE 301
GLEN ALLEN, VA 23060**

SCC ID NO: **F1342932**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	10,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 401 WILSHIRE BLVD, STE 1100

CITY/ST/ZIP: SANTA MONICA, CA 90401

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	LYNN NIEDERMEIER	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	PRES/CEO		
ADDRESS:	401 WILSHIRE BLVD STE 1200		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401		
NAME:	ALLAN AVENA	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	VICE PRESIDENT		
ADDRESS:	401 WILSHIRE BLVD STE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401		
NAME:	KIRSTEN BOSCH	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	1ST VP		
ADDRESS:	401 WILSHIRE BLVD STE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401		
NAME:	MAURA COLLINS	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	SR VP/CFO		
ADDRESS:	401 WILSHIRE BLVD SUITE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401		
NAME:	SCOTT FORBUSH	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	VICE PRESIDENT		
ADDRESS:	1 CORPORATE WAY		
CITY/ST/ZIP/CO:	LANSING, MI 48951		
NAME:	JAMES GARRISON	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	ASSIST VP		
ADDRESS:	1 CORPORATE WAY		
CITY/ST/ZIP/CO:	LANSING, MI 48951		

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	ALFREDO GOMEZ ASSIST VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JEFF GRIFFITH ASSIST VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	GERRY GUNDERSON SENIOR VP 212 N 4TH STREET BISMARCK, ND 58501	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	SEAN HALEY SENIOR VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MARY K HANK ASSIST VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JOHN JOHNSON SENIOR VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JAMES KOMOSZEWSKI 1ST VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	RUSLAN LEVIN ASSIST VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	CRAIG LEWIS VICE PRESIDENT 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MARIA J LINDSLEY VICE PRESIDENT 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	TODD MANEVAL ASSIST VP 1 CORPORATE WAY LANSING, MI 48951	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	WENDY MARTINEZ SENIOR VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	PATRICIA MCCALLOP ASSIST VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	AUSTIN MOON VICE PRESIDENT 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MARK PAGE VICE PRESIDENT 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	VANESSA RODRIGUEZ VP / CONTROLLER 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MICHAEL SCHAB ASSIST VP 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	DANIEL WEISS VICE PRESIDENT 401 WILSHIRE BLVD STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	THOMAS J MEYER SECRETARY 1 CORPORATE WAY LANSING, MI 48951	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JULIA GOATLEY ASST SECRETARY 1 CORPORATE WAY LANSING, MI 48951	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR

NAME: JAMES LIVINGSTON TITLE: DIRECTOR ADDRESS: 7601 TECHNOLOGY WAY CITY/ST/ZIP/CO: DENVER, CO 80237	<input type="checkbox"/> OFFICER <input checked="" type="checkbox"/> DIRECTOR
NAME: CHARD MAHAFFEY TITLE: ASSIST VP ADDRESS: 401 WILSHIRE BLVD CITY/ST/ZIP/CO: STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
NAME: TIMOTHY P STONE TITLE: ASSIST VP ADDRESS: 401 WILSHIRE BLVD CITY/ST/ZIP/CO: STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
NAME: RANDY GAMIEL TITLE: VICE PRESIDENT ADDRESS: 401 WILSHIRE BLVD CITY/ST/ZIP/CO: STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
NAME: MELISSA LIZOTTE TITLE: VICE PRESIDENT ADDRESS: 401 WILSHIRE BLVD CITY/ST/ZIP/CO: STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
NAME: KEITH MISTRETTA TITLE: VICE PRESIDENT ADDRESS: 401 WILSHIRE BLVD CITY/ST/ZIP/CO: STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
NAME: SCOTT MONTGOMERY TITLE: VICE PRESIDENT ADDRESS: 401 WILSHIRE BLVD CITY/ST/ZIP/CO: STE 1100 SANTA MONICA, CA 90401	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.	
/s/ THOMAS J MEYER SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	THOMAS J MEYER, SECRETARY PRINTED NAME AND CORPORATE TITLE
6/20/2012 DATE	
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.	