

<b>SCC eFile</b>	<b>2016 ANNUAL REPORT COMMONWEALTH OF VIRGINIA STATE CORPORATION COMMISSION</b>	216509837				
1.) CORPORATION NAME: <b>USI Securities, Inc.</b>		DUE DATE: <b>3/31/2016</b>				
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: <b>CT CORPORATION SYSTEM 4701 COX ROAD, SUITE 285 GLEN ALLEN, VA</b>		SCC ID NO: <b>F1345877</b>				
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: <b>HENRICO COUNTY</b>		5.) STOCK INFORMATION				
4.) STATE OR COUNTRY OF INCORPORATION: <b>DE</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">CLASS</td> <td style="width: 50%;">AUTHORIZED</td> </tr> <tr> <td>COMMON</td> <td>100</td> </tr> </table>	CLASS	AUTHORIZED	COMMON	100
CLASS	AUTHORIZED					
COMMON	100					
6.) PRINCIPAL OFFICE ADDRESS:						
ADDRESS: 200 Summit Lake Drive Suite 350						
CITY/ST/ZIP: Valhalla, NY 10595						
7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.						
NAME: JOE GRITZER	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR				
TITLE: PRESIDENT						
ADDRESS: 95 GLASTONBURY BLVD						
CITY/ST/ZIP/CO: BLASTONBURY, CT 06033						
NAME: SUSAN FULLWOOD	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR				
TITLE: TREASURER						
ADDRESS: 95 GLASTONBURY BLVD						
CITY/ST/ZIP/CO: GLASTONBURY, CT 06033						
NAME: ERNEST J NEWBORN II	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR				
TITLE: SECRETARY						
ADDRESS: 555 PLEASANTVILLE ROAD #1605						
CITY/ST/ZIP/CO: BRIAR CLIFF MANOR, NY 10510						
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.						
/s/ ERNEST J NEWBORN II	ERNEST J NEWBORN II,	3/18/2016				
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	SECRETARY PRINTED NAME AND CORPORATE TITLE	DATE				
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.						