

1.) CORPORATION NAME:

UVEST Financial Services Group, Inc.

DUE DATE: **4/30/2011**

SCC ID NO: **F1467580**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CT CORPORATION SYSTEM

4701 COX RD STE 301

GLEN ALLEN, VA 23060-6802

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,200,000
PREFA	10,135
PREFB	1,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

NC

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 2810 COLISEUM CENTRE DR
BLDG 5

CITY/ST/ZIP: CHARLOTTE, NC 28217-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	DAN H ARNOLD	
TITLE:	PRES/DIR	
ADDRESS:	2870 COLISEUM CENTRE DR	
CITY/ST/ZIP/CO:	CHARLOTTE, NC 28217-	

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	ROBERT J MOORE	
TITLE:	TREASURER	
ADDRESS:	9785 TOWNE CENTRE DRIVE	
CITY/ST/ZIP/CO:	SAN DIEGO, CA 92121-	

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	MARK S CASADY	
TITLE:	DIRECTOR	
ADDRESS:	ONE BEACON ST, 22ND FLOOR	
CITY/ST/ZIP/CO:	BOSTON, MA 02108-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	SARA B. DAVIS	
TITLE:	SECRETARY	
ADDRESS:	ONE BEACON STREET., 22ND FLOOR	
CITY/ST/ZIP/CO:	BOSTON, MA 02108-	

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	WILLIAM E. DWYER, III	
TITLE:	DIRECTOR	
ADDRESS:	ONE BEACON STREET, 22ND FLOOR	
CITY/ST/ZIP/CO:	BOSTON, MA 02108-	

NAME:	ESTHER M. STEAMS	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	9785 TOWNE CENTRE DRIVE		
CITY/ST/ZIP/CO:	SAN DIEGO, CA 92121-		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ SARA B. DAVIS</u>	<u>SARA B. DAVIS, SECRETARY</u>	<u>1/17/2012</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.