

1.) CORPORATION NAME: M&M Brokerage Services, Inc.	DUE DATE: 8/31/2013				
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: CT CORPORATION SYSTEM 4701 COX RD STE 301 GLEN ALLEN, VA	SCC ID NO: F1483777				
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: HENRICO COUNTY	5.) STOCK INFORMATION <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">CLASS</td> <td style="width:50%;">AUTHORIZED</td> </tr> <tr> <td>COMMON</td> <td>100</td> </tr> </table>	CLASS	AUTHORIZED	COMMON	100
CLASS	AUTHORIZED				
COMMON	100				
4.) STATE OR COUNTRY OF INCORPORATION: CA					

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 75 ROCKEFELLER PLAZA
SUITE 2101

CITY/ST/ZIP: NEW YORK, NY 10019

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: MARVIN J MEYER TITLE: P/S/T ADDRESS: 75 ROCKEFELLER PLAZA SUITE 2101 CITY/ST/ZIP/CO: NEW YORK, NY 10019	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
---	---	-----------------------------------	--

NAME: LORI M LIESER TITLE: VICE PRESIDENT ADDRESS: 500 W MADISON SUITE 2400 CITY/ST/ZIP/CO: CHICAGO, IL 60661	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
--	---	-----------------------------------	--

NAME: ANNEMARIE LONG TITLE: DIRECTOR ADDRESS: 1250 CAPITAL OF TEXAS HWY S BLDG 2 CITY/ST/ZIP/CO: AUSTIN, TX 78746	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
---	----------------------------------	--	--

NAME: MALIKA S. HINKSON TITLE: DIRECTOR ADDRESS: 340 MADISON AVENUE 20TH FLOOR CITY/ST/ZIP/CO: NEW YORK, NY 10173	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
---	----------------------------------	--	--

NAME: BRETT SCHNEIDER TITLE: DIRECTOR ADDRESS: 340 MADISON AVE 20TH FLOOR CITY/ST/ZIP/CO: NEW YORK, NY 10173	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
---	----------------------------------	--	--

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ LORI M LIESER	LORI M LIESER, VICE PRESIDENT	8/26/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.