

1.) CORPORATION NAME:

STERNE, AGEE & LEACH, INC.

DUE DATE: **5/31/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**NATIONAL REGISTERED AGENTS, INC.
4701 COX ROAD
SUITE 301**

SCC ID NO: **F1488263**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
-------	------------

GLEN ALLEN, VA

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 800 SHADES CREEK PKWY SUITE 700

CITY/ST/ZIP: BIRMINGHAM, AL 35209

7.) DIRECTORS AND PRINCIPAL OFFICERS:

All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	JAMES S HOLBROOK, JR.	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	PRESIDENT/CEO		
ADDRESS:	800 SHADES CREEK PARKWAY STE 700		
CITY/ST/ZIP/CO:	BIRMINGHAM, AL 35209		
NAME:	DAMON B JOYNER	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	PRESIDENT		
ADDRESS:	800 SHADES CREEK PKWY SUITE 700		
CITY/ST/ZIP/CO:	BIRMINGHAM, AL 35209		
NAME:	WILLIAM K HOLBROOK	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	PRESIDENT		
ADDRESS:	800 SHADES CREEK PKWY SUITE 700		
CITY/ST/ZIP/CO:	BIRMINGHAM, AL 35209		
NAME:	JAMES F DIXON, III	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	800 SHADES CREEK PKWY SUITE 700		
CITY/ST/ZIP/CO:	BIRMINGHAM, AL 35209		
NAME:	RYAN C MEDO	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	800 SHADES CREEK PKWY SUITE 700		
CITY/ST/ZIP/CO:	BIRMINGHAM, AL 35209		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ WILLIAM K HOLBROOK SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	WILLIAM K HOLBROOK, PRESIDENT PRINTED NAME AND CORPORATE TITLE	4/10/2013 DATE
--	---	-------------------

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.