

1.) CORPORATION NAME:

Citicorp Securities Services, Inc.

DUE DATE: **12/31/2011**

SCC ID NO: **F1576810**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CT CORPORATION SYSTEM

4701 COX RD STE 301

GLEN ALLEN, VA 23060-6802

5.) STOCK INFORMATION

| CLASS | AUTHORIZED |
|--------|------------|
| COMMON | 1,000 |

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 390 GREENWICH STREET

CITY/ST/ZIP: NEW YORK, NY 10013-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: RACHEL STINE
TITLE: ASS SEC
ADDRESS: 388 GREENWICH ST
CITY/ST/ZIP/CO: NEW YORK, NY 10013-

OFFICER

DIRECTOR

NAME: ALAN PACE
TITLE: CEO
ADDRESS: 390 GREENWICH ST
CITY/ST/ZIP/CO: NEW YORK, NY 10013-

OFFICER

DIRECTOR

NAME: RAMSEY SALIBA
TITLE: CFO/VP
ADDRESS: 388 GREENWICH STREET
CITY/ST/ZIP/CO: NEW YORK, NY 10013-

OFFICER

DIRECTOR

NAME: PETER MOZER
TITLE: TREASURER
ADDRESS: 388 GREENWICH STREET
CITY/ST/ZIP/CO: NEW YORK, NY 10013-

OFFICER

DIRECTOR

NAME: LISA A HOFFMAN
TITLE: ASST SECRETARY
ADDRESS: 3800 CITIGROUP CENTER DRIVE
CITY/ST/ZIP/CO: TAMPA, FL 33610-

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

| | | |
|---|--|--------------------------|
| <u>/s/ LISA A HOFFMAN</u> SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT | <u>LISA A HOFFMAN, ASST SECRETARY</u> PRINTED NAME AND CORPORATE TITLE | <u>11/2/2011</u> DATE |
|---|--|--------------------------|

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.