

1.) CORPORATION NAME: Broker Dealer Financial Services Corp. 2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: NATIONAL REGISTERED AGENTS INC 4701 COX ROAD, SUITE 285 GLEN ALLEN, VA	DUE DATE: 2/28/2014 SCC ID NO: F1577230 5.) STOCK INFORMATION <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">CLASS</td> <td style="width: 50%;">AUTHORIZED</td> </tr> <tr> <td>COMMON</td> <td>30,000</td> </tr> </table>	CLASS	AUTHORIZED	COMMON	30,000
CLASS	AUTHORIZED				
COMMON	30,000				
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: HENRICO COUNTY					
4.) STATE OR COUNTRY OF INCORPORATION: IA					

6.) PRINCIPAL OFFICE ADDRESS: ADDRESS: 140 S. 68TH STREET CITY/ST/ZIP: WEST DES MOINES, IA 50266	
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7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: DEBORAH S JACKSON TITLE: SVP ADDRESS: PO BOX 6240 CITY/ST/ZIP/CO: JOHNSTON, IA 50131	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
NAME: MICHAEL T SHERZAN TITLE: CHAIRMAN ADDRESS: PO BOX 6240 CITY/ST/ZIP/CO: JOHNSTON, IA 50131	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
NAME: BETH STOKES TITLE: CFO ADDRESS: PO BOX 6240 CITY/ST/ZIP/CO: JOHNSTON, IA 50131	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
NAME: EDWARD B WRIGHT TITLE: SVP ADDRESS: PO BOX 6240 CITY/ST/ZIP/CO: JOHNSTON, IA 50131	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ BETH STOKES	BETH STOKES, CFO	1/29/2014
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.