

SCC eFile
(6/10)

2011 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

211522239

1.) CORPORATION NAME:

First Southwest Company

DUE DATE: **9/30/2011**

SCC ID NO: **F1599259**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CAPITOL CORPORATE SERVICES INC

10 S JEFFERSON ST STE 1400

ROANOKE, VA 24011

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	500

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

ROANOKE CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 325 NORTH SAINT PAUL STREET, STE 800

CITY/ST/ZIP: DALLAS, TX 75201-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: HILL A FEINBERG
TITLE: PRESIDENT
ADDRESS: 325 N SAINT PAUL ST
STE 800
CITY/ST/ZIP/CO: DALLAS, TX 75201-

OFFICER

DIRECTOR

NAME: DAVID A COMMONS
TITLE: CFO
ADDRESS: 325 N SAINT PAUL ST
STE 800
CITY/ST/ZIP/CO: DALLAS, TX 75201-

OFFICER

DIRECTOR

NAME: BRIAN L WITTNEBEN
TITLE: SECRETARY
ADDRESS: 325 N SAINT PAUL ST
STE 800
CITY/ST/ZIP/CO: DALLAS, TX 75201-

OFFICER

DIRECTOR

NAME: ANNE BURGER ENTREKIN
TITLE: DIRECTOR
ADDRESS: 70 NORTHEAST LOOP 410
STE 710
CITY/ST/ZIP/CO: SAN ANTONIO, TX 78216-

OFFICER

DIRECTOR

NAME: MAUREEN E GURGHIGIAN
TITLE: DIRECTOR
ADDRESS: 12 BREAKNECK HILL RD
STE 200
CITY/ST/ZIP/CO: LINCOLN, RI 02865-

OFFICER

DIRECTOR

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MICHAEL J MARZ DIRECTOR 325 N SAINT PAUL ST STE 800 DALLAS, TX 75201-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JOSEPH L BRAWNER TREASURER 325 N SAINT PAUL ST STE 800 DALLAS, TX 75201-	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	DAVID K MEDANICH DIRECTOR 777 MAIN ST STE 76102 FORT WORTH, TX 76102-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	WAYNE B PLACIDE DIRECTOR 325 N SAINT PAUL ST STE 800 DALLAS, TX 75201-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	HENRY A SAUER, JR DIRECTOR 700 MILAM ST STE 500 HOUSTON, TX 77002-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MICHAEL G BARTOLOTTA DIRECTOR 700 MILAM ST STE 500 HOUSTON, TX 77002-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JACK E ADDAMS DIRECTOR 325 N SAINT PAUL ST STE 800 DALLAS, TX 75201-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JOHN R MUSCHALEK DIRECTOR 325 N SAINT PAUL ST STE 800 DALLAS, TX 75201-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	DREW K MASTERSON DIRECTOR 700 MILAM ST STE 500 HOUSTON, TX 77002-	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ DAVID A COMMONS	DAVID A COMMONS, CFO	9/23/2011
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.