

SCC eFile
(6/10)

2011 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

211525093

1.) CORPORATION NAME:

SAGEPOINT FINANCIAL, INC.

DUE DATE: **11/30/2011**

SCC ID NO: **F1608704**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CORPORATION SERVICE COMPANY

Bank of America Center, 16th Floor

1111 East Main Street

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000

RICHMOND, VA 23219

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 2800 NORTH CENTRAL AVE
SUITE 2100

CITY/ST/ZIP: PHOENIX, AZ 85004-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: JEFFREY M AULD
TITLE: PRES/CEO
ADDRESS: 2800 NORTH CENTRAL AVE
STE 2100
CITY/ST/ZIP/CO: PHOENIX, AZ 85004-

OFFICER

DIRECTOR

NAME: NOAH SORKIN
TITLE: SR VP/SEC
ADDRESS: ONE WORLD FINANCIAL CENTER 15TH FL
CITY/ST/ZIP/CO: NEW YORK, NY 10281-

OFFICER

DIRECTOR

NAME: R LAWRENCE ROTH
TITLE: DIRECTOR
ADDRESS: ONE WORLD FINANCIAL CTR 15TH FL
CITY/ST/ZIP/CO: NEW YORK, NY 10281-

OFFICER

DIRECTOR

NAME: TERRY FESTERVAND
TITLE: TREASURER
ADDRESS: 2300 WINDY RIDGE PARKWAY
SUITE 1100
CITY/ST/ZIP/CO: ATLANTA, GA 30339-

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ NOAH SORKIN

NOAH SORKIN, SR VP/SEC

10/21/2011

SIGNATURE OF DIRECTOR/OFFICER
LISTED IN THIS REPORT

PRINTED NAME AND CORPORATE
TITLE

DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.