

SCC eFile

2014 ANNUAL REPORT
COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

214518568

1.) CORPORATION NAME:

Northwestern Mutual Wealth Management Company

DUE DATE: **2/28/2014**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM
4701 COX ROAD, SUITE 285
GLEN ALLEN, VA**

SCC ID NO: **F1657115**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

US

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 611 E WISCONSIN AVE STE 100

CITY/ST/ZIP: MILWAUKEE, WI 53202

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	ERIC P CHRISTOPHERSEN PRESIDENT 611 E. WISCONSIN AVENUE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	DAVID B KENNEDY VP/ADMUSTRN /T 611 E WISCONSIN AVE STE 100 MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	MARK J MCLENNON VP/IAS 611 E WISCONSIN AVE STE 100 MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	STEVEN LA FORE SECRETARY 611 E WISCONSIN AVE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	RONALD P JOELSON DIRECTOR 720 E. WISCONSIN AVENUE MILWAUKEE, WI 53202	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	GREGORY C OBERLAND DIRECTOR 720 E. WISCONSIN AVENUE MILWAUKEE, WI 53202	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	TODD M JONES DIRECTOR 720 E. WISCONSIN AVENUE MILWAUKEE, WI 53202	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	GERALD E FRADIN VICE PRESIDENT 611 E WISCONSIN AVE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JEFFERSON V DEANGELIS CHF INV OFFICER 611 E WISCONSIN AVE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	ELLEN HOPPER CHF OP OFFICER 611 E WISCONSIN AVE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JEFFREY B WILLIAMS CHF CMP OFFICER 720 E WISCONSIN AVE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	KELLEN A THIEL DIR ADV SERV 611 E WISCONSIN AVE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	DEREK L TYUS DIR PCS 611 E WISCONSIN AVE MILWAUKEE, WI 53202	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	LONDA J DEWEY DIRECTOR 702 E WASHINGTON AVE MADISON, WI 53703	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	THOMAS L SPERO DIRECTOR 2507 W RANGE LINE TERRACE MEQUON, WI 53092	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	JOHN WILLIAMS DIRECTOR W309 N6309 CINDY LANE HARTLAND, WI 53029	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ DAVID B KENNEDY	DAVID B KENNEDY,	4/9/2014
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	VP/ADMISTRN /T PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.