

1.) CORPORATION NAME: <b>SEABURY &amp; SMITH, INC.</b>	DUE DATE: <b>2/28/2013</b>						
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: <b>CT CORPORATION SYSTEM 4701 COX RD STE 301 GLEN ALLEN, VA</b>	SCC ID NO: <b>F1657495</b>						
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: <b>HENRICO COUNTY</b>	5.) STOCK INFORMATION <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CLASS</th> <th style="width:50%;">AUTHORIZED</th> </tr> <tr> <td>COMMON</td> <td>1,000</td> </tr> <tr> <td>PREFER</td> <td>100,000</td> </tr> </table>	CLASS	AUTHORIZED	COMMON	1,000	PREFER	100,000
CLASS	AUTHORIZED						
COMMON	1,000						
PREFER	100,000						
4.) STATE OR COUNTRY OF INCORPORATION: <b>DE</b>							

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1166 AVENUE OF THE AMERICAS

CITY/ST/ZIP: NEW YORK, NY 10036

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TERENCE B BERNIER TITLE: CHAIRMAN ADDRESS: 500 W MONROE ST CITY/ST/ZIP/CO: CHICAGO, IL 60661		

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: JOSEPH P GIGLIOTTI TITLE: VICE PRESIDENT ADDRESS: 121 RIVER ST CITY/ST/ZIP/CO: HOBOKEN, NJ 07030		

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: LAWRENCE M LEHAN TITLE: SECRETARY ADDRESS: 1166 AVENUE OF THE AMERICAS CITY/ST/ZIP/CO: NEW YORK, NY 10036		

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: ROBERT S BENTLEY TITLE: PRESIDENT ADDRESS: 1166 AVENUE OF THE AMERICAS CITY/ST/ZIP/CO: NEW YORK, NY 10036		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ JOSEPH P GIGLIOTTI	JOSEPH P GIGLIOTTI, VICE PRESIDENT	7/2/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.