

1.) CORPORATION NAME:

**Old Mutual Financial Network Securities, Inc.**

DUE DATE: **8/31/2010**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI  
CORPORATION SERVICE COMPANY  
11 S 12TH ST  
PO BOX 1463**

SCC ID NO: **F1719220**

5.) STOCK INFORMATION

| CLASS  | AUTHORIZED |
|--------|------------|
| COMMON | 10,000     |

**RICHMOND, VA 23218**

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**RICHMOND CITY**

4.) STATE OR COUNTRY OF INCORPORATION:

**MD**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1001 FLEET ST, 6TH FLOOR

CITY/ST/ZIP: BALTIMORE, MD 21202-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: BRIAN T GRIGG  
TITLE: PRESIDENT  
ADDRESS: 1001 FLEET ST, 6TH FLOOR  
CITY/ST/ZIP/CO: BALTIMORE, MD 21202-

OFFICER

DIRECTOR

NAME: ERIC L MARHOUN  
TITLE: SECRETARY  
ADDRESS: 1001 FLEET ST, 6TH FLOOR  
CITY/ST/ZIP/CO: BALTIMORE, MD 21202-

OFFICER

DIRECTOR

NAME: GEORGE C NICHOLSON  
TITLE: DIRECTOR  
ADDRESS: 1001 FLEET ST, 6TH FLOOR  
CITY/ST/ZIP/CO: BALTIMORE, MD 21202-

OFFICER

DIRECTOR

NAME: JOHN PHELPS  
TITLE: DIRECTOR  
ADDRESS: 1001 FLEET ST., 6TH FLOOR  
CITY/ST/ZIP/CO: BALTIMORE, MD 21202-

OFFICER

DIRECTOR

NAME: JOHN ROONEY  
TITLE: ASST SECRETARY  
ADDRESS: 1001 FLEET ST., 6TH FLOOR  
CITY/ST/ZIP/CO: BALTIMORE, MD 21202-

OFFICER

DIRECTOR

|  |   |
|--|---|
| NAME: ANGELA HOLLAND<br>TITLE: Chief Complianc<br>ADDRESS: 1001 FLEET ST., 6TH FLOOR<br>CITY/ST/ZIP/CO: BALTIMORE, MD 21202- | <input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR |
|--|---|

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

|   |   |           |
|---|---|-----------|
| /s/ JOHN ROONEY                                     | JOHN ROONEY, ASST                             | 2/21/2011 |
| SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT | SECRETARY<br>PRINTED NAME AND CORPORATE TITLE | DATE      |

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.