

1.) CORPORATION NAME:

Securities America Advisors, Inc.

DUE DATE: **10/31/2011**

SCC ID NO: **F1769373**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

AUTH IN VI

CT CORPORATION SYSTEM

4701 COX RD STE 301

GLEN ALLEN, VA 23060-6802

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	10,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

NE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 12325 PORT GRACE BLVD.

CITY/ST/ZIP: LA VISTA, NE 68128-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: P. JANINE WERTHEIM
TITLE: PRESIDENT
ADDRESS: 12325 PORT GRACE BLVD.
CITY/ST/ZIP/CO: LA VISTA, NE 68128-

OFFICER

DIRECTOR

NAME: TERRANCE S DEWALD
TITLE: Sr VP/GC/SEC
ADDRESS: 12325 PORT GRACE BLVD.
CITY/ST/ZIP/CO: LA VISTA, NE 68128-

OFFICER

DIRECTOR

NAME: GAYLE E PARSONS
TITLE: VP/TREAS
ADDRESS: 12325 PORT GRACE BLVD.
CITY/ST/ZIP/CO: LA VISTA, NE 68128-

OFFICER

DIRECTOR

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ TERRANCE S DEWALD
SIGNATURE OF DIRECTOR/OFFICER
LISTED IN THIS REPORT

TERRANCE S DEWALD, Sr
VP/GC/SEC
PRINTED NAME AND CORPORATE
TITLE

9/26/2011
DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.