

1.) CORPORATION NAME:

E*TRADE Financial Advisory Services, Inc.

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: **B.E.**

**AUTH IN VI
CORPORATION SERVICE COMPANY
11 S 12TH ST
PO BOX 1463**

RICHMOND, VA 23218

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

DUE DATE: **11/30/2010**

SCC ID NO: **F1773383**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	1,000

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 4500 BOHANNON DR

CITY/ST/ZIP: MENLO PARK, CA 94025-

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	MICHAEL CURCIO	
TITLE:	P/CEO	
ADDRESS:	135 EAST 57TH STREET	
CITY/ST/ZIP/CO:	NEW YORK, NY 10022-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	ELIZABETH GOTTFRIED	
TITLE:	EXEC VP	
ADDRESS:	4500 BOHANNON DRIVE	
CITY/ST/ZIP/CO:	MENLO PARK, CA 94025-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	DILIA CABALLERO	
TITLE:	SECRETARY	
ADDRESS:	671 N GLEBE RD	
CITY/ST/ZIP/CO:	ARLINGTON, VA 22203-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	SHANE MULRON	
TITLE:	CFO	
ADDRESS:	905 HIGHLAND T DR STE 100	
CITY/ST/ZIP/CO:	ROSEVILLE, CA 95648-	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	TIMOTHY WILLIAMS	
TITLE:	CCO	
ADDRESS:	641 NORTH GLEBE ROAD	
CITY/ST/ZIP/CO:	ARLINGTON, VA 22203-	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

<u>/s/ DILIA CABALLERO</u>	<u>DILIA CABALLERO, SECRETARY</u>	<u>12/2/2010</u>
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.