

1.) CORPORATION NAME: <b>ADA-ES, Inc.</b> 2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: <b>CORPORATION SERVICE COMPANY          BANK OF AMERICA CENTER, 16TH FLOOR          1111 EAST MAIN STREET           RICHMOND, VA</b>	DUE DATE: <b>6/30/2015</b>  SCC ID NO: <b>F1794330</b>  5.) STOCK INFORMATION <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">CLASS</th> <th style="text-align: left;">AUTHORIZED</th> </tr> </thead> <tbody> <tr> <td>COMMON</td> <td>50,000,000</td> </tr> <tr> <td>PREFER</td> <td>50,000,000</td> </tr> </tbody> </table>	CLASS	AUTHORIZED	COMMON	50,000,000	PREFER	50,000,000
CLASS	AUTHORIZED						
COMMON	50,000,000						
PREFER	50,000,000						
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: <b>RICHMOND CITY</b>							
4.) STATE OR COUNTRY OF INCORPORATION: <b>CO</b>							

6.) PRINCIPAL OFFICE ADDRESS:  ADDRESS: 9135 S RIDGELINE BLVD STE 200 MAPPLE AVENUE  CITY/ST/ZIP: HIGHLANDS RANCH, CO 80129
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7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: MICHAEL D DURHAM TITLE: PRESIDENT ADDRESS: 9135 SOUTH RIDGELINE BLVD STE 200 CITY/ST/ZIP/CO: HIGHLANDS RANCH, CO 80129	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
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NAME: MARK H MCKINNIES TITLE: T/S/VP ADDRESS: 9135 S RIDGELINE BLVD STE 200 CITY/ST/ZIP/CO: HIGHLANDS RANCH, CO 80129	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
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NAME: ALAN B. GABBARD TITLE: DIRECTOR ADDRESS: 9135 SOUTH RIDGELINE BOULEVARD SUITE 200 CITY/ST/ZIP/CO: HIGHLANDS RANCH, CO 80129	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
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I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ MICHAEL D DURHAM	MICHAEL D DURHAM, PRESIDENT	5/13/2015
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.