

1.) CORPORATION NAME:

E*TRADE Financial Corporation

DUE DATE: **8/31/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CORPORATION SERVICE COMPANY
Bank of America Center, 16th Floor
1111 East Main Street**

SCC ID NO: **F1801234**

RICHMOND, VA

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	400,000,000
PREFER	1,000,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

RICHMOND CITY

4.) STATE OR COUNTRY OF INCORPORATION:

DE

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1271 AVENUE OF THE AMERICAS

CITY/ST/ZIP: NEW YORK, NY 10020

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

<p>NAME: Navtej S. Nandra TITLE: PRESIDENT ADDRESS: 1271 Avenue of the Americas 14th Floor CITY/ST/ZIP/CO: New York, NY 10020</p>	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
<p>NAME: Matthew J. Audette TITLE: EVP, CFO ADDRESS: 1271 Avenue of the Americas 14th Floor CITY/ST/ZIP/CO: New York, NY 10020</p>	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
<p>NAME: Karl A Roessner TITLE: Corp. Secretary ADDRESS: 1271 Avenue of the Americas 14th Floor CITY/ST/ZIP/CO: New York, NY 10020</p>	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
<p>NAME: Lori Sher TITLE: ASST SECRETARY ADDRESS: 671 North Glebe Road CITY/ST/ZIP/CO: Arlington, VA 22203</p>	<input checked="" type="checkbox"/> OFFICER <input type="checkbox"/> DIRECTOR
<p>NAME: Frederick W Kanner TITLE: DIRECTOR ADDRESS: 1271 Avenue of the Americas 14th Floor CITY/ST/ZIP/CO: New York, NY 10020</p>	<input type="checkbox"/> OFFICER <input checked="" type="checkbox"/> DIRECTOR

NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Joseph M Velli DIRECTOR 1271 Avenue of the Americas 14th Floor New York, NY 10020	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Donna L Weaver DIRECTOR 1271 Avenue of the Americas 14th Floor New York , NY 10020	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Stephen H Willard DIRECTOR 1271 Avenue of the Americas 14th Floor New York , NY 10020	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Patricia Milon Comp Ohief 1271 Avenue of the Americas 14th Floor New York , NY 10020	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Paul T Idzik CEO 1271 Avenue of the Americas 14th Floor New York , NY 10020	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Liza Landsman CMO 1271 Avenue of the Americas 14th Floor New York, NY 10020	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Russell Ross CSO 1271 Avenue of the Americas 14th Floor New York , NY 10020	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	James Lam DIRECTOR 1271 Avenue of the Americas 14th Floor New York , NY 10020	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TITLE: ADDRESS: CITY/ST/ZIP/CO:	Rebecca Saeger DIRECTOR 1271 Avenue of the Americas 14th Floor New York , NY 10020	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.			
/s/ Lori Sher SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	Lori Sher , PRINTED NAME AND CORPORATE TITLE	8/27/2013 DATE	

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.