

1.) CORPORATION NAME:

THORBAHN AND ASSOCIATES INSURANCE AGENCY, INC.

DUE DATE: **10/31/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**CT CORPORATION SYSTEM
4701 COX ROAD, SUITE 285
GLEN ALLEN, VA**

SCC ID NO: **F1876046**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	200,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

HENRICO COUNTY

4.) STATE OR COUNTRY OF INCORPORATION:

MA

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 141 LONGWATER DRIVE

CITY/ST/ZIP: NORWELL, MA 02061

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: JOHN THORBAHN		
TITLE: PRES/SEC/TREAS		
ADDRESS: 141 LONGWATER DRIVE		
CITY/ST/ZIP/CO: NORWELL, MA 02061		

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: LORI M. LIESER		
TITLE: VICE PRESIDENT		
ADDRESS: 500 W. MADISON STREET		
SUITE 2400		
CITY/ST/ZIP/CO: CHICAGO, IL 60661		

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME: LAUREN DELOUCHE		
TITLE: ASSIST. SEC.		
ADDRESS: 1250 CAPITAL OF TEXAS HWY. S. BLDG. 2		
SUITE 125		
CITY/ST/ZIP/CO: AUSTIN, TX 78746		

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: EVAN A. MICHAEL		
TITLE: DIRECTOR		
ADDRESS: 340 MADISON AVE		
20TH FLOOR		
CITY/ST/ZIP/CO: NEW YORK, NY 10173		

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: EDWARD O'MALLEY		
TITLE: DIRECTOR		
ADDRESS: 1250 CAPITAL OF TEXAS HWY. S. BLDG. 2		
SUITE 125		
CITY/ST/ZIP/CO: AUSTIN, TX 78746		

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: BRETT SCHNEIDER		
TITLE: DIRECTOR		
ADDRESS: 340 MADISON AVE.		
20TH FLOOR		
CITY/ST/ZIP/CO: NEW YORK, NY 10173		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ LORI M. LIESER	LORI M. LIESER, VICE PRESIDENT	10/29/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.