

1.) CORPORATION NAME:

**Hercules Technology Growth Capital, Inc.**

DUE DATE: **11/30/2012**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**NATIONAL REGISTERED AGENTS INC  
4701 COX ROAD  
SUITE 301**

SCC ID NO: **F1878786**

**GLEN ALLEN, VA 23060-6802**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	100,000,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**MD**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 400 Hamilton Avenue  
Suite 310

CITY/ST/ZIP: Palo Alto, CA 94301

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME:	MANUEL HENRIQUEZ	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	PRES/CEO		
ADDRESS:	400 HAMILTON AVE STE 310		
CITY/ST/ZIP/CO:	PALO ALTO, CA 94301		

NAME:	ROBERT BADAVAS	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	400 HAMILTON AVE STE 310		
CITY/ST/ZIP/CO:	PALO ALTO, CA 94301		

NAME:	ALLYN WOODWARD JR	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	400 HAMILTON AVE STE 310		
CITY/ST/ZIP/CO:	PALO ALTO, CA 94301		

NAME:	K. Nicholas Martitsch	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	SECRETARY		
ADDRESS:	400 Hamilton Avenue Suite 310		
CITY/ST/ZIP/CO:	Palo Alto, CA 94301		

NAME:	Jessica Baron	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
TITLE:	CFO		
ADDRESS:	400 Hamilton Avenue Suite 310		
CITY/ST/ZIP/CO:	Palo Alto, CA 94301		

NAME:	Joseph Chow	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
TITLE:	DIRECTOR		
ADDRESS:	400 Hamilton Avenue		
CITY/ST/ZIP/CO:	Suite 310 Palo Alto, CA 94301		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ K. Nicholas Martitsch	K. Nicholas Martitsch, SECRETARY	10/4/2012
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.