

1.) CORPORATION NAME:

**Hercules Technology Growth Capital, Inc.**

DUE DATE: **11/30/2013**

2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS:

**NATIONAL REGISTERED AGENTS INC  
4701 COX ROAD, SUITE 285  
GLEN ALLEN, VA**

SCC ID NO: **F1878786**

5.) STOCK INFORMATION

CLASS	AUTHORIZED
COMMON	100,000,000

3.) CITY OR COUNTY OF VA REGISTERED OFFICE:

**HENRICO COUNTY**

4.) STATE OR COUNTRY OF INCORPORATION:

**MD**

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 400 HAMILTON AVENUE  
SUITE 310

CITY/ST/ZIP: PALO ALTO, CA 94301

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	MANUEL HENRIQUEZ	
TITLE:	PRESIDENT	
ADDRESS:	400 HAMILTON AVE STE 310	
CITY/ST/ZIP/CO:	PALO ALTO, CA 94301	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	JESSICA BARON	
TITLE:	CFO	
ADDRESS:	400 HAMILTON AVENUE	
CITY/ST/ZIP/CO:	SUITE 310 PALO ALTO, CA 94301	

	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR
NAME:	Michael Penney	
TITLE:	SECRETARY	
ADDRESS:	400 HAMILTON AVENUE	
CITY/ST/ZIP/CO:	SUITE 310 PALO ALTO, CA 94301	

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	ROBERT BADAVAS	
TITLE:	DIRECTOR	
ADDRESS:	400 HAMILTON AVE STE 310	
CITY/ST/ZIP/CO:	PALO ALTO, CA 94301	

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	JOSEPH CHOW	
TITLE:	DIRECTOR	
ADDRESS:	400 HAMILTON AVENUE	
CITY/ST/ZIP/CO:	SUITE 310 PALO ALTO, CA 94301	

	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME:	ALLYN WOODWARD JR	
TITLE:	DIRECTOR	
ADDRESS:	400 HAMILTON AVE STE 310	
CITY/ST/ZIP/CO:	PALO ALTO, CA 94301	

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ Michael Penney	Michael Penney, SECRETARY	10/21/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.